

10/592947  
IAP9/Rec'd PCT/PTO 15 SEP 2006

MAIL STOP - PCT  
Attorney Docket No.: 27646U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

PALMER, Andreas

Application No.: Not Yet Assigned

Filed: September 15, 2006

Intl. Application No.: PCT/EP2005/051269

Intl. Filing Date: March 18, 2005

Title: 7,8,9,10-TETRAHYDRO-IMIDAZO[2,1-A]ISOCHINOLINES

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

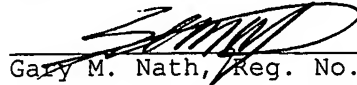
- 1) Application Data Sheet;
- 2) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing Under 35 U.S.C. 371;
- 3) International Publication Number: WO 2005/090346 A2; International Publication Date: September 29, 2005, which consists of 51 pages:  
1 page for the Cover Sheet, with Abstract,  
42 pages of Textual Specification,  
8 pages of 13 Claims, and  
0 sheets of Drawings;
- 4) Preliminary Amendment with Appendices A, B, and C, to be Examined;
- 5) Unexecuted Declaration and Power of Attorney;
- 6) PCT/ISA/237 (Written Opinion of the International Searching Authority);
- 7) PCT/ISA/210 (International Search Report);
- 8) PCT/IB/306 (Notification regarding the Recording of a Change), concerning Mr. Palmer;
- 9) PCT/IB/308 (First Notice Informing the Applicant of the Communication of the International Application);
- 10) International Publication Number: WO 2005/090346 A3, consisting of the PCT/ISA/210 (International Search Report);
- 11) PCT/RO/101 (Request);
- 12) Check No.: 2849 in the Amount of \$ 900.00 for Basic Filing Fee, which includes the Search and Examination Fees, as a Large Entity;
- 13) Postcard for Early Notification of the Serial Number Request.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,  
NATH & ASSOCIATES PLLC

September 15, 2006

By:

  
Gary M. Nath, Reg. No. 26,965  
Sheldon M. McGee, Reg. No. 50,454  
Customer No. 34375

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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |   |                                     |
|--|---|-------------------------------------|
| Application No.:<br>Not Yet Assigned   | Application Filing Date:<br>9/15/2006   | Attorney Docket No.:<br>27646U      |
| International Application<br>No.: PCT/EP2005/051269  | International Filing Date:<br>3/18/2005 | Priority Date Claimed:<br>3/22/2004 |
| Title of Invention: 7,8,9,10-TETRAHYDRO-IMIDAZO[2,1-A]ISOCHINOLINES  |   |                                     |
| Applicants for DO/EO/US: PALMER, Andreas   |   |                                     |
| Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |                                     |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</li> <li>20. <input checked="" type="checkbox"/> Other items or information:<br/> TRANSMITTAL LETTER; APPLICATION DATA SHEET; INTERNATIONAL PUBLICATION NUMBER: WO 2005/0090346 A2; INTERNATIONAL PUBLICATION DATE: SEPTEMBER 29, 2005, WHICH CONSISTS OF 51 PAGES: 1 PAGE FOR THE COVER SHEET, WITH ABSTRACT, 42 PAGES OF TEXTUAL SPECIFICATION, 8 PAGES OF 13 CLAIMS, AND 0 SHEETS OF DRAWINGS; <b>PRELIMINARY AMENDMENT WITH APPENDICES A, B, AND C, TO BE EXAMINED</b>; UNEXECUTED DECLARATION AND POWER OF ATTORNEY; PCT/ISA/237 (WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY); PCT/ISA/210 (INTERNATIONAL SEARCH REPORT); PCT/IB/306 (NOTIFICATION REGARDING THE RECORDING OF A CHANGE), CONCERNING MR. PALMER; PCT/IB/308 (FIRST NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION); INTERNATIONAL PUBLICATION NUMBER: WO 2005/0090346 A3, CONSISTING OF THE PCT/ISA/210 (INTERNATIONAL SEARCH REPORT); PCT/RO/101 (REQUEST); POSTCARD FOR THE EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST. </li> </ol> |   |                                     |

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|--|--------------|---|---------|-----------------------------|---------------------|
| U.S. Application No.: <b>10/592947</b><br>Not Yet Assigned   |              | International Application:<br>PCT/EP2005/051269 |         | Attorney Docket No.: 27646U |                     |
| The following fees are submitted:  |              |   |         | <u>CALCULATION</u>          | <u>PTO USE ONLY</u> |
| 21. <input checked="" type="checkbox"/> Basic National Fee (37 CFR 1.492(a)) ---- \$ 300.00  |              |   |         | \$ 300.00                   |                     |
| 22. <input checked="" type="checkbox"/> Examination Fee (37 CFR 1.492 (c))<br>If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ----- \$ 0.00   |              |   |         |                             |                     |
| All other situations ----- \$ 200.00   |              |   |         | \$ 200.00                   |                     |
| 23. <input checked="" type="checkbox"/> Search Fee (37 CFR 1.492(b))<br>If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ----- \$ 0.00<br><br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ----- \$ 100.00<br><br>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ----- \$ 400.00<br><br>All other situations ----- \$ 500.00 |              |   |         | \$ 400.00                   |                     |
| <b>Total of 21, 22 and 23</b>  |              |   |         | <b>\$ 900.00</b>            |                     |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium).<br><br>The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.   |              |   |         |                             |                     |
| Total Sheets   | Extra Sheets | # of each additional 50                         | RATE    |                             |                     |
| 74-100 =   | 0 / 50 =     |   | X \$250 |                             |                     |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |   |         |                             |                     |
| CLAIMS   | # FILED      | # EXTRA   | RATE    |                             |                     |
| TOTAL  | 13-20=       | 0   | X \$50  |                             |                     |
| INDEPENDENT  | 1-3=         | 0   | X \$200 |                             |                     |
| Multiple dependent claims (if applicable) + \$360  |              |   |         |                             |                     |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |         | <b>\$ 900.00</b>            |                     |
| Applicant claims small entity status.<br>Fees above are reduced by 1/2.  |              |   |         |                             |                     |
| <b>SUBTOTAL =</b>  |              |   |         | <b>\$ 900.00</b>            |                     |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |              |   |         |                             |                     |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |         | <b>\$ 900.00</b>            |                     |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).<br><b>\$40.00 per property +</b>   |              |   |         |                             |                     |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |         | <b>\$ 900.00</b>            |                     |
|  |              |   |         | Amount to be: refunded      | \$                  |
|  |              |   |         | Amount to be: charged       | \$                  |

|  |   |                                |
|--|---|--------------------------------|
| U.S. Application No.<br>Not Yet Assigned | International Application:<br>PCT/EP2005/051269 | Attorney Docket No.:<br>27646U |
|--|---|--------------------------------|

- a. ☒ A check in the amount of \$ 900.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account Number: 14-0112 in the amount of \$  
to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. ☒ The Commissioner is hereby authorized to charge any additional fees, which  
may be required, or credit any overpayment to Deposit Account Number: 14-  
0112.
- d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may  
become public. Credit Card Information should not be included on this form.  
Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been  
met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request  
that the application be restored to pending status.

Send All Correspondence To:

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Alexandria, VA 22314  
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September 15, 2006

By: 

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Sheldon M. McGee, Reg. No. 50,454  
Customer No. 34375

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